

# **J.R. OLSEN BONDS & INSURANCE BROKERS, INC.**

Broker/Agent Lic. #0680914

## **LOST INSTRUMENT BONDS (Money Order & Stock Certificates)**

This bond category concerns missing stock certificates and government bonds.

Thank you for your recent inquiry regarding lost instrument surety bonds. If you would like your request processed with few delays, please return all the items listed below for consideration.

1. An Application on the instrument owners and their spouses, along with Affidavit. All questions on the application should have answers. Because these bonds grant credit to the applicants, personal information is needed to analyze the risk. **MUST BE LEGIBLE.**
2. A copy of the lost instrument
3. Submit copies of all correspondence with the issuing company including the stop payment notice on the lost certificates/bonds, if available. In addition, any correspondence received by the transfer agent, bank, or other financial institution identifying you (the applicant) as the owner of the missing instrument.
4. If the applicant is a business or corporation, please be sure to include a complete copy of the most current fiscal year end financial statement.
5. Please look below for tentative premium and fees:

Tentative Rates: Fixed Penalty / Open Penalty: 2% to 4% of the bond amount.

To accept the terms for providing coverage and obtain a faster response to your request, please sign below.

J. R. Olsen Bonds reserves the right to change or cancel these terms and conditions at any time without notice. We hope this will accommodate you/your client, and we look forward to working with you on this account.

### **PLEASE SIGN AND RETURN ALONG WITH APPLICATION AND PREMIUM**

The undersigned hereby agrees to engage in the services of and appoints J.R. Olsen Bonds & Insurance Brokers, Inc. (hereinafter the "Licensee") as its Broker to secure, negotiate and procure the placement of Bond(s)/Insurance and to assist the undersigned in the preparation of any and all applications and other documentation which may be requested for procurement of the Bond or Insurance. As consideration for the above-stated services by the Licensee, the undersigned agrees to pay the Licensee a fee, which may be charged. In addition, fees and premiums may earn interest. This undeclared income is non-refundable and fully earned as well as any fees charged. In addition to performing the aforementioned services on behalf of the undersigned, the Licensee may also be acting on behalf of the insurer issuing the subject Bond(s) or Insurance and may receive a commission (Brokers/Agents shall comply with their applicable state regulations regarding fees).

Quote and Agreement Accepted: \_\_\_\_\_  
Title: \_\_\_\_\_ Date: \_\_\_\_\_

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7407 Topanga Canyon Blvd., Canoga Park, CA 91303  
Tel: (800) 452-7121 ~ Fax: (818) 227-2628 / (818) 227-2629  
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December 2006

# J.R. OLSEN BONDS & INSURANCE BROKERS, INC.

7407 Topanga Cyn Blvd, Canoga Park, CA 91303 ~ Toll Free: (800) 452-7121 ~ Fax: (818) 227-2628 ~ Broker/Agent License #0680914

## Multi-Purpose License & Permit Bond Application

**\*\* ALL APPLICANTS ARE SUBJECT TO CREDIT REVIEW \*\***

1. Do owners personally or with a company in which they had an ownership interest, have a history of:
  - a) Chapter 7 Bankruptcy? \_\_\_\_\_ If Yes, Explain details \_\_\_\_\_
  - b) Chapter 11 or 13 bankruptcy? \_\_\_\_\_ If Yes, Explain details \_\_\_\_\_
  - c) If you answered Yes to (b) above, is the proceeding still active? \_\_\_\_\_
2. Is the combined personal net worth of the owners at least five times the amount of the bond? \_\_\_\_\_
3. Do owners or the firm itself have any Unsatisfied Judgments, Tax Liens, Undisputed Collections, or Absence of any Credit Relationship for 24 months by owners personally or by firm? \_\_\_\_\_ If Yes, explain: \_\_\_\_\_
4. If you have Unsatisfied Judgments, Tax Liens or Disputed Collections:
  - a) Have you entered into formal agreements to repay in installments? \_\_\_\_\_
  - b) If Yes, can you prove you have complied with the payment schedule(s) for at least 6 months? \_\_\_\_\_  
(Confirming letter from creditor will be required)
5. Do owners and firm pay financial obligations:
  - a) Within agreed terms? \_\_\_\_\_
  - b) Within 30 days past terms, but on not more than 2 accounts? \_\_\_\_\_
6. Do you own Real Estate? \_\_\_\_\_  Home  Investment
7. Applicant's Name: (principal name - include DBA, must be exactly as it is to appear on the bond): \_\_\_\_\_
8. What is your balance in checking and savings? \_\_\_\_\_
9. Business Tax ID #: \_\_\_\_\_ Phone #'s: \_\_\_\_\_
10. Address (home): \_\_\_\_\_  
(business): \_\_\_\_\_
11. Type of Bond \_\_\_\_\_ Amount of Bond: \$ \_\_\_\_\_ Effective Date: \_\_\_\_\_
12. How much cash could you raise in next 90 days by selling assets and paying off debt? \_\_\_\_\_
13. Obligee (address & phone number): \_\_\_\_\_
14. Years experience as Owner of this business: \_\_\_\_\_
15. Related Management experience over what years: \_\_\_\_\_
16. Are you engaged in any other line of business?: \_\_\_\_\_
17. Has application for this bond ever been declined?: \_\_\_\_\_
  - a. By Whom? \_\_\_\_\_ For what reasons? \_\_\_\_\_
  - b. Any Prior Claims? \_\_\_\_\_ Was claim resolved? \_\_\_\_\_ Who is the prior surety on this bond?: \_\_\_\_\_
18. Have you ever been licensed for this type of business in any state? \_\_\_\_\_ If Yes, explain? \_\_\_\_\_

The Undersigned Applicant and indemnitors hereby certify that the statements contained herein or attached hereto are true and are made to induce the Surety to execute or continue the suretyship described herein, and agree as follows; FIRST: to pay any premiums due while Surety has liability outstanding; SECOND: to indemnify Surety from all liability and loss, expenses, and damages incurred as a result of furnishing bond, renewals, continuations, extensions, or increase in bond amount, including attorneys fees incurred by Surety in enforcing this agreement; THIRD: that Surety has the exclusive right to determine the disposition of any claim or suit; FOURTH: that an itemized statement of loss and expenses by Surety shall be prima facie evidence of the fact and extent of Undersigned's obligation to Surety; FIFTH: that this agreement inures to the benefit of the Surety, or any other company executing or reinsuring bond at the request of Surety; SIXTH: that Surety may examine assets covered by the bond any time; SEVENTH: that in the event application is for a lost securities bond, Undersigned will deliver any securities subsequently found to Surety; and EIGHTH; upon demand of Surety, Undersigned will deposit sufficient collateral with Surety to offset any claim made against Surety under bond applied for. J.R. Olsen Bonds & Insurance Brokers, Inc. is authorized by indemnitors to designate the surety executing this bond, substitutions, or amendments thereto. This agreement shall apply to all renewals, continuations, substitutions, and extensions of suretyship herein applied for. **IT IS HEREBY FURTHER AGREED AND UNDERSTOOD THAT THE FIRST'S YEAR'S PREMIUM ON THE BOND SHALL BE CONSIDERED FULLY EARNED UPON ISSUANCE OF THE BOND.**

### APPLICANTS SIGN TWICE

Signed and dated this \_\_\_\_\_ day of \_\_\_\_\_, 20 \_\_\_\_ .

ALL OWNERS AND THEIR SPOUSES  
MUST SIGN BELOW

\_\_\_\_\_  
(Firm Name)  
By: (1) X \_\_\_\_\_ X \_\_\_\_\_  
(Legal Representative) (Secretary)

Title:  President  Partner  Sole Owner  Managing Member (LLC) Status:  Single  Married

### SIGNATURES OF PERSONAL INDEMNITORS

### PRINT NAME OF EACH SIGNER

(1) X \_\_\_\_\_  
Soc. Sec. # \_\_\_\_\_ DOB: \_\_\_\_\_  
(2) X \_\_\_\_\_ (SPOUSE)  
Soc. Sec. # \_\_\_\_\_ DOB: \_\_\_\_\_  
(3) X \_\_\_\_\_  
Soc. Sec. # \_\_\_\_\_ DOB: \_\_\_\_\_  
(4) X \_\_\_\_\_ (SPOUSE)  
Soc. Sec. # \_\_\_\_\_ DOB: \_\_\_\_\_

(1) \_\_\_\_\_  
(2) \_\_\_\_\_ (SPOUSE)  
(3) \_\_\_\_\_  
(4) \_\_\_\_\_ (SPOUSE)  
September 2006

**LOST INSTRUMENT AFFIDAVIT**

**THAT THE UNDERSIGNED, BEING DULY SWORN, UNDER PENALTY OF PERJURY DEPOSES AND SAYS:**

**THAT A CERTAIN STOCK CERTIFICATE ( ), CASHIER'S CHECK, MONEY ORDER ( ), MUNICIPAL BOND ( ) check one, NUMBER \_\_\_\_\_ DATED \_\_\_\_\_ OWNER: \_\_\_\_\_ IS THE ONE AND ONLY INSTRUMENT, AND THAT THERE ARE NO OTHER INSTRUMENT(S) OUTSTANDING, AND THAT EVERY EFFORT HAS BEEN MADE TO DISCOVER THE WHEREABOUTS OF MISSING INSTRUMENT(S). THE UNDERSIGNED FURTHER ASSERTS THAT THE MISSING INSTRUMENT (S) HAS NOT BEEN ASSIGNED OR PLEDGED TO ANY THIRD PART(Y)IES.**

**THAT THE UNDERSIGNED FURTHER AGREE(S) TO HOLD THE SURETY COMPANY AND ITS SUBSIDIARY AND AFFILIATES, FREE AND HARMLESS FROM ANY LOSS THEY MAY SUSTAIN BY VIRTUE OF THE FOREGOING NOT BEING TRUE.**

**DATED THIS \_\_\_\_\_ DAY OF \_\_\_\_\_, 20\_\_\_\_.**

**BY**

**BY**

\_\_\_\_\_  
**STATE OF \_\_\_\_\_  
COUNTY OF \_\_\_\_\_**

**SCRIBED AND SWORN BEFORE ME ON THE \_\_\_\_\_ DAY OF \_\_\_\_\_, 19\_\_\_\_.**

\_\_\_\_\_

(seal)

**NOTARY SIGNATURE**